



Docket No. 20229.0013

COMBINED DECLARATION AND POWER OF ATTORNEY FOR
ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL,
DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SUBSTRATE ASSEMBLY FOR OPTICAL COUPLING OF LASERS AND RECEIVERS

the specification of which

- a. ☐ is attached hereto
- b. ☒ was filed on August 21, 2003 as application Serial No. 10/644,762 and was amended on _____. (if applicable).

PCT FILED APPLICATION ENTERING NATIONAL STAGE

- c. ☐ was described and claimed in International Application No. _____ filed on _____ and as amended on _____. (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby specify the following as the correspondence address to which all communications about this application are to be directed:

SEND CORRESPONDENCE TO: CUSTOMER NUMBER 23517
Edward A. Pennington
SWIDLER BERLIN SHEREFF FRIEDMAN, LLP
3000 K Street, N.W., Suite 300
Washington, D.C. 20007-5166

DIRECT TELEPHONE CALLS TO: Robert C. Bertin, 202-424-7500

- ☐ I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or under § 365(b) of any foreign application(s) for patent or inventor's certificate or under § 365(a) of any PCT international application(s) designating at least one country other than the U.S. listed below and also have identified below such foreign application(s) for patent or inventor's certificate or such PCT international application(s) filed by me on the same subject matter having a filing date within twelve (12) months before that of the application on which priority is claimed:

- ☐ The attached 35 U.S.C. § 119 claim for priority for the application(s) listed below forms a part of this declaration.

Country/PCT	Application Number	Date of filing (day, month, yr)	Date of issue (day, month, yr)	Priority Claimed
				<input type="checkbox"/> Y <input type="checkbox"/> N
				<input type="checkbox"/> Y <input type="checkbox"/> N
				<input type="checkbox"/> Y <input type="checkbox"/> N

- ☒ I hereby claim the benefit under 35 U.S.C. § 119(e) of any U.S. provisional application(s) listed below.

Provisional Application No.

Date of filing (day, month, yr)

60/404,930

August 22, 2002

**ADDITIONAL STATEMENTS FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART
OR PCT INTERNATIONAL APPLICATION(S) DESIGNATING THE U.S.)**

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) or under § 365(c) of any PCT international application(s) designating the U.S. listed below.

US/PCT Application Serial No.	Filing Date,	Status (patented, pending, abandoned)/ U.S. application no. assigned (For PCT)
-------------------------------	--------------	---

US/PCT Application Serial No.	Filing Date,	Status (patented, pending, abandoned)/ U.S. application no. assigned (For PCT)
-------------------------------	--------------	---

- ☐ In this continuation-in-part application, insofar as the subject matter of any of the claims of this application is not disclosed in the above listed prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys and/or agents with full power of substitution and revocation, to prosecute this application, to receive the patent, and to transact all business in the Patent and Trademark Office connected

therewith: Customer No. 23517: Edward A. Pennington (Reg. No. 32,588), John P. Moran (Reg. No. 30,906), Eric J. Franklin (Reg. No. 37,134), Michael A. Schwartz (Reg. No. 40,161), Robert C. Bertin (Reg. No. 41,488), Alicia A. Meros (Reg. No. 44,937), Chadwick A. Jackson (Reg. No. 46,495), Sean O'Hanlon (Reg. No. 47,252), John P. Mulgrew, II (Reg. No. 47,809), and James H. Laughlin (Reg. No. 22,947) of Swidler Berlin Shereff Friedman, LLP, having an address of 3000 K Street, N.W., Suite 300, Washington, D.C. 20007-5116.

Full name of sole or first inventor Franklin F. K. Tong

Inventor's signature

Date:

Residence: Flat 2B, Block 5, Villa Oceania, 8 On Chun Street, Ma On Shan, New Territories, Hong Kong

Citizenship: U.S.

Post Office Address: Flat 2B, Block 5, Villa Oceania, 8 On Chun Street, Ma On Shan, New Territories, Hong Kong

Full name of second inventor Allan Hui

Inventor's signature

Date:

Residence: U.S.

Citizenship: Suite 1605, 29 On Chun St., Ma On Shan, Shatin, Hong Kong

Post Office Address: Suite 1605, 29 On Chun St., Ma On Shan, Shatin, Hong Kong

Full name of third inventor

Inventor's signature

Date:

Residence:

Citizenship:

Post Office Address:

Full name of fourth inventor

Inventor's signature

Date:

Residence:

Citizenship:

Post Office Address: